

Please use this guide when completing CommSec Adviser Services Trading applications and account maintenance forms. CommSec Adviser Services Trading forms can be accessed from the adviser section of www.commsecadviserservices.com.au

FORM NAME	ADVISER SIGNATURE REQUIRED	CLIENT SIGNATURE REQUIRED	ACCEPTED VIA FAX OR E-MAIL	ORIGINAL SIGNATURE REQUIRED
ASX/Chi-X Warrant Client Agreement Form	OPTIONAL	✓	✓	X
Change of Client Details Form (Advised Clients)	✓	✓	✓	X
Change of Information Services	✓	X	✓	X
Change of Settlement Options, Bank Account Details and Dividend Redirection Request	X	✓	✓	X
CHESS Sponsorship and Broker to Broker Transfer	X	✓	✓	X
CHESS Sponsorship and Issuer Sponsored Transfer	X	✓	✓	X
Dealer Group Service Agreement	✓	X	X	✓
ETO PDS and Application Form	OPTIONAL	✓	✓	X
ETO Tier 2 Trading Upgrade	OPTIONAL	✓	✓	X
New Adviser Form	✓	X	✓	X
Partly Paid Security Client Agreement Form	OPTIONAL	✓	✓	X
Registered Holder Collateral Cover Authorisation	OPTIONAL	✓	X	✓
Share Trading and Cash Account Application Form (Advised Clients)	✓	✓	✓	X
Standard Transfer Form for Off-Market Transactions	X	✓	X	✓
Trustee Requesting more than one Account Form	X	✓	✓	X

FOR MORE INFORMATION

Please contact your CommSec Adviser Services Business Development Manager or state team.

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