



Change of Settlement Options, Bank Account Details and Dividend Redirection Request

Share Trading is a service provided by Australian Investment Exchange Ltd (the Participant, we, us, our)
ABN 71 076 515 930 AFSL 241400, a participant of the ASX Group and Chi-X Australia.

Form ID: 1012

Where to send this form?

Please provide your completed and signed form with any relevant supporting documents to your adviser.

Adviser use only: Use this Form ID to securely submit the documents via eSubmit. To use eSubmit, log into the CommSec Adviser Services website and go to: **Administration > eSubmit**



Use this form to:

- Change your settlement method (Section 2);
- Change your nominated bank account (Section 3)
- Request your dividends to be paid to your linked bank account (Section 4)

Section 1 – Client Details

MANDATORY

Trading Account Number

Trading Account Name

Residential Address

State

Postcode

Country (if not Australia)

Section 2 – Change of Settlement Options



Your preferred settlement method can only be changed once your account has no open orders or unsettled trades.

Select your preferred settlement method from the options below.

New Commonwealth Bank Accelerator Cash Account (ACA)

By selecting this option your ACA will be linked as an integrated settlement account for your trading account.

Please attach a completed ACA/TD Application Form.

Existing ACA

By selecting this option your existing ACA will be linked as an integrated settlement account for your trading account.

Please provide your ACA bank account details in Section 3.

Nominated bank account – Please complete Section 3

Third Party Settlement

(e.g. Margin Loan or WRAP Account)

By selecting this option your share trading transactions will be settled through a Third Party Settlement provider.

Third Party Settlement Provider (e.g. Margin Lender)

Third Party Settlement PID

Third Party Account Number

Third Party Settlement Account Name

Third Party Contact Person (if known)

Third Party Contact Number (if known)

Section 3 – Change/Add your Bank Account for Direct Debit/Credit

Complete the following details to change or add your bank account details for Direct Debit/Credit.



The nominated bank account name must be in the same entity name as your trading account. If this bank account is a joint account where one or more parties are not account holders on this share trading account, you **MUST** provide certified identification for them and have them sign the Declaration & Signature/s section of this form.

Account Name

BSB

Account Number

Section 4 – Dividend Redirection Request

Please have my dividends paid directly to my linked bank account.

This is not applicable for Third Party Settlements, such as a Margin Loan. We will automatically send your BSB and Account Number to the share registries for all existing and new holdings each time you purchase securities on your CHESS Sponsored Share Trading Account with the Participant.



Not all companies or share registries support electronic payment of dividends or their direction. If you hold shares in one of these companies, you may still receive dividend cheques to your registered address.



Where to from here?

Please proceed to Section 5 to sign the form.

Section 5 – Declaration & Signature/s

If you have requested a change to your nominated bank account for Direct Debit/Credit you declare the following:

I/We understand and acknowledge that:

- my financial institution has absolute discretion to decide the order in which it will pay moneys under this request, or any other request, authority or mandate;
- my financial institution has absolute discretion to refuse to honour this request at anytime;
- you may vary the amount or frequency of future debits to meet amounts payable under the Service;
- this request operates on the terms of the Direct Debit and Direct Credit Request Service Agreement contained in the Participant's Share Trading Terms and Conditions available from the Trading Site which I/we have read and agree to;
- I/we consent to the collection, use and exchange of my/our personal information as set out in the Customer Information and Privacy section of the Participant's Share Trading Terms and Conditions;
- the account details are correct and have been checked against a recent account statement from the nominated financial institution.

I/We authorise and request, the Participant (APCA ID. no. 093993 – Debit and 093992 – Credit) to arrange for funds to be debited from and credited to my/our account at the financial institution specified in this form and as prescribed below through the Bulk Electronic Clearing System (BECS). This authorisation is to remain in force in accordance with the terms described in the Direct Debit and Direct Credit Request Service Agreement contained in the Participant's Share Trading Terms and Conditions available from the Trading Site.

I/We agree for the Participant to remit funds to my/our choice of nominated bank account.

I/We authorise the following:

- The Participant to verify the details of the account mentioned in this form with my/our financial institution;
- The financial institution to release information allowing the Participant to verify the account details mentioned in this form.

All authorised signatories must sign this form for your instructions to be executed.

I/We authorise you to act in accordance with my/our instructions.

Name of Account Holder 1/Director/Secretary 1/Trustee 1

Name of Account Holder 2/Director/Secretary 2/Trustee 2

If there are more than 2 authorised signatories, please photocopy this page and attach to the form.