

Deceased Estates Trade Form



Use this form if you are an existing CommSec Adviser Services or Commonwealth Bank customer to place a trade for a deceased estate.

- Executor 1 will be the primary contact. For identification purpose the personal and contact details provided on this form MUST match your existing identification details provided.
- Phone brokerage rate applies to deceased estate trades. Please refer to the Financial Service guide available on the website to view phone brokerage rates.

Deceased Trade Form Instructions

HOW IT WORKS:

1. Read and accept the Share Trading Terms and Conditions available to download from the website.
2. Email or mail the completed form and any supporting documentation to the details above.

The following documentation will be required:

If the value of the shares that belong to the estate is less than \$50,000:

- Certified copy of the Death Certificate
- Certified copy of the Last Will and Testament
- Small Estates Indemnity form
- Executor Administrator Authority form.

If the value of the shares that belong to the estate is above \$50,000:

- Certified copy of Probate
- Executor Administrator Authority form.

HOW WE PAY YOU

Payment can only be made by cheque and payable in the name of the estate.

HOW TO CORRECTLY CERTIFY DOCUMENTS

Each certified photocopy(s) must include the statement (or similar wording):

'I certify that this is a true copy of the original document'.

The certifier must include their full name, original signature, qualification or occupation which makes that eligible, date and contact details (phone number).

BEST EXECUTION POLICY DISCLOSURE

Before you submit your Deceased Trade form request, please take due time to view the Best Execution Client Disclosure document on the website.

GENERAL ADVICE WARNING

This form has been prepared without taking account of the objectives, financial situation or needs of any particular individual. For this reason, any individual should, before acting on the information in this form, consider the appropriateness of the information, having regard to the individual's objectives, financial situation and needs and, if necessary seek professional advice.

PRIVACY STATEMENT

CommSec Adviser Services is collecting your personal information in connection with your role as an executor. For details of CommSec Adviser Services' privacy and information handling practices, including how you may access your information, seek correction of that information and how you may complain, please refer to our Privacy Policy which is available at www.CommSecAdviserServices.com.au.

Section 1 – Executor Details

Executor 1

Title Given Name(s) Surname

Executor 2

Title Given Name(s) Surname

Executor 3

Title Given Name(s) Surname

Section 2 – Share Registration Details

Account Registration Name

Registered address (as listed on trading account)

Postal address for cheque payment to be sent (address should be that of Executor or Solicitor)

A) Sell ALL broker sponsored shares on the HIN Account Number

OR

B) Sell only the following shares on the HIN:

Name of Shares (eg TLS, CBA, IAG)	Holder Identification Number HIN	Number of units to be sold
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

Section 3 – Declaration/Signature

By signing this document, I/We:

- Instruct AUSIEX to sell the above mentioned securities at the prevailing market price as soon as practicable after this form is received.
- I have read and accept the AUSIEX Trading Terms and Conditions and have been provided a copy of the latest Financial Services Guide, both available to download from the website.

Name of Executor 1 Signature of Executor 1 Date

Name of Executor 2 Signature of Executor 2 Date

Name of Executor 3 Signature of Executor 3 Date