

Additional Adviser Authority Form

CommSec Adviser Services (CAS) is a brand of Commonwealth Bank of Australia (CBA or the Bank) ABN 48 123 123 124 AFSL and Australian credit licence 234945. Share trading is a service provided by Australian Investment Exchange Ltd (AUSIEX or Participant) ABN 71 076 515 930 AFSL 241400, a participant of the ASX Group and Chi-X Australia. The Accelerator Cash Account is a deposit product issued by CBA and administered by AUSIEX under the CAS brand. Lending products under the CAS brand are provided by CBA. Investment loans are administered by its wholly owned but non-guaranteed subsidiary Commonwealth Securities Limited (CommSec) ABN 60 067 254 399 AFSL 238814, a participant of the ASX Group and Chi-X Australia.

Form ID: 7022

Where to send this form?

Use this Form ID to securely submit your completed and signed form with any relevant supporting documents through eSubmit.

To use eSubmit, log in to the CommSec Adviser Services website and go to: **Administration > eSubmit**



This form to be used when an additional adviser or assistant adviser would like access to a primary adviser account.

Section 1 – Additional/Assistant Adviser Details

A) Dealer Group Details (please select one only)

AFSL holder
 AFSL Number

 Licensee Name

 Full Company Name (as registered by ASIC)

Non-AFSL holder
 Full Company Name (as registered by ASIC)

 Australian Company Number (ACN)

B) Additional/Assistant Adviser Details

Mr Ms Mrs Miss Dr Other
 Given Name/s

 Surname

 Other name/s commonly known by (if applicable)

 Date of Birth

 Authorised Representative Number

 Adviser's Company Name

C) Additional/Assistant Adviser Contact Details

It is compulsory to provide all contact details in this section.

Residential Address of the Adviser (cannot be a PO Box)

 State Postcode

 Country (if not Australia)

Registered Address of the Business (cannot be a PO Box)

State Postcode

 Country (if not Australia)

Postal Address of the Business Same as Registered Address

State Postcode

 Country (if not Australia)

Business Phone (mandatory)

Mobile Number (mandatory)

Email (mandatory – confirmations will be sent to this email address)

Secondary Email (optional)

Preferred Contact Method
 Business Phone Mobile Email

Section 2 – Sole Trader Information

Complete this section if you are a Sole Trader.

Full Business Name (if any)

Australian Business Number (ABN)

Principal Place of Business (cannot be a PO Box)

 State Postcode

 Country (if not Australia)

Section 3 – Additional/Assistant Adviser Setup Details

By completing this section you will gain access to the nominated Primary Adviser's clients.

A) Trading

I would like to link to an Existing Primary Adviser Trading Account with:

- View-only access
 Full trading rights

Name of Primary Adviser you wish to have access to

Existing Primary Adviser Number (CAN), Adviser Code or Username

B) Cash Management

- I would like to register as an additional/assistant adviser for Cash Management with view-only access.

Name of Primary Adviser you wish to have access to

Existing Primary Adviser Number (CAN), Adviser Code or Username

C) Investment Lending

- I would like to register as an additional/assistant adviser for Investment Lending with view-only access.

Name of Primary Adviser you wish to have access to

Existing Primary Adviser Number (CAN), Adviser Code or Username

D) CALIA+

- I would like to register as an additional/assistant adviser for CALIA+ with view-only access.

Name of Primary Adviser you wish to have access to

Existing Primary Adviser Number (CAN), Adviser Code or Username

Note: If Full Trading Rights is selected, the additional/assistant adviser must be authorised to deal in securities.

To obtain authority to operate Accelerator Cash Account, please complete the 'Adviser Authority to Operate Form' available from the website.

Section 4 – Login Details for Online Access

Note: You **must** provide a Temporary Login Password for online access. A username will be emailed to you accompanying your registration confirmation.

Temporary Login Password (8 alphanumeric characters)

(Please make a note of the Temporary Password you have nominated. You will be prompted to change your Temporary Password when first logging into the website).

Section 5 – Acknowledgements

I/We understand and acknowledge that the law requires applicants to provide true and correct information and state all the names by which they are commonly known. I/We also understand that the law prohibits the use of false names, as well as the giving, use or production of false or misleading information on documents in connection with an identification procedure. I/We declare that the information I/we have provided in this form is true and correct.

I/We declare:

- the additional/assistant adviser nominated has appropriate qualifications, training and experience;
- the additional/assistant adviser is an authorised representative of the Dealer Group;
- I/we have the relevant authorisations from the Dealer Group for the services applied for under this form;
- where Full Trading Rights has been nominated, the additional/assistant adviser is authorised to deal in securities;
- a copy of the Dealer Group Agreement and/or Dealer Terms of Trade has been provided to the additional/assistant adviser; and
- I/we have read and agree to the terms in the Dealer Group Agreement and/or Dealer Terms of Trade which relate to the provision of the nominated services.

I/We acknowledge that I/we are responsible to promptly inform the Bank if there is any change to my/our status as an authorised representative of an AFS licensee, or as a Credit licensee or as a Director/ Employee or as a credit representative of a credit licensee as applicable.

I/We consent to the collection, use and exchange of my personal information as set out in the Customer Information and Privacy sections of the terms and conditions for the relevant products and services.

I/We have read and agree to accept and abide by the Participant's Terms and Conditions and Terms of Access and the Bank's Terms and Conditions for the relevant product or service prior to receiving any financial service. These, along with other legal documents are available on CommSec Adviser Services' website and I/We agree to access these documents from the website.

Additional Adviser/Assistant Adviser Signature

Full Name

Primary Adviser Signature

Full Name



Where to from here?

I have attached copies of the following documents:

All applicants

- Certified copy of Drivers Licence or Passport

AFSL holders only

- Authorised Representative Certificate or Employee Representative Certificate



Where to send the completed form?

Once completed and signed, please return the form by the eSubmit instructions provided on page 1.